

FORM PTO-1390 TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER 070456-0119
		U.S. APPLIC. NO. (if known, see 37 CFR 1.5) 107590011 Not Yet Assigned
INTERNATIONAL APPLICATION NO PCT/JP2004/019538	INTERNATIONAL FILING DATE December 27, 2004	PRIORITY DATE CLAIMED February 27, 2004
TITLE OF INVENTION CATALYST STRUCTURE AND METHOD OF MANUFACTURING CARBON NANOTUBE USING THE SAME		
APPLICANTS FOR DO/EO/US Takeshi HIKATA		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1.	<input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.	
2.	<input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.	
3.	<input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.	
4.	<input checked="" type="checkbox"/> The US has been elected (Article 31).	
5.	<input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)	
6.	<input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).	
7.	<input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendment has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made.	
8.	<input type="checkbox"/> An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).	
9.	<input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).	
10.	<input type="checkbox"/> An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).	
Items 11. to 16. below concern other document(s) or information included:		
11.	<input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.	
12.	<input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.	
13.	<input checked="" type="checkbox"/> A preliminary amendment.	
14.	<input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.	
15.	<input type="checkbox"/> A substitute specification.	
16.	<input type="checkbox"/> A power of attorney and/or change of address letter.	
17.	<input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.	
18.	<input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).	
19.	<input type="checkbox"/> A second copy of the English translation of the International Application under 35 U.S.C. 154(d)(4).	
20.	<input checked="" type="checkbox"/> Other items or information. 1) Copy of International published Application 2) International Search Report 3) PCT Request 4) PCT/IB/301 5) PCT/IB/304 6) PCT/IB/308	

U.S. APPLIC. NO. (if known. See 37 CFR 1.50) <i>107590011</i> Not yet assigned	INTERNATIONAL APPLICATION NO. PCT/JP2004/019538	ATTORNEY'S DOCKET NUMBER 070456-0119			
		CALCULATIONS PTO USE ONLY			
21. <input checked="" type="checkbox"/> The following fees are submitted:					
22. <input checked="" type="checkbox"/> Examination Fee If the written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) All other situations		\$300 \$0 \$200			
23. <input checked="" type="checkbox"/> Search Fee (37CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB All other situations		\$300.00 \$ 200.00 \$ 400.00 \$100 \$400 \$500			
TOTAL OF 21, 22 and 23 =		\$ 900.00			
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.		\$0			
Total Sheets	Extra Sheets	Number of each additional 50 or fraction Thereof (round up to a whole number)	RATE		
28-100	0/50=	0	X\$250	\$0	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$0.00	
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims		15-20 =	0	x \$50.00	\$0
Independent Claims		2-3 =	0	x \$200.00	\$0
Multiple dependent claim(s) (if applicable)				+ \$360.00	\$0
TOTAL OF ABOVE CALCULATIONS =				\$ 900.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.				\$	
				SUBTOTAL =	\$ 900.00
Processing fee of \$130.00 for furnishing the English translation later than the 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	\$0
				TOTAL NATIONAL FEE =	\$ 900.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$40.00
				TOTAL FEES ENCLOSED =	\$940.00
				Amount to be refunded	\$
				Amount to be charged	\$940.00
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.					
b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>500417</u> in the amount of <u>\$940.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>500417</u> . A duplicate copy of this sheet is enclosed.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:			August 21, 2006		
Customer Number 20277			DATE		
Telephone: 202.756.8000					
Facsimile: 202.756.8087					
			SIGNATURE		
			Stephen A. Becker		
			NAME		
			26,527		
			REGISTRATION NUMBER		